

# Dude, Where's My MountainCar?

A Course Project

Valentin Tiriac  
with Paul Liu and Saidur Rahman

# Overview

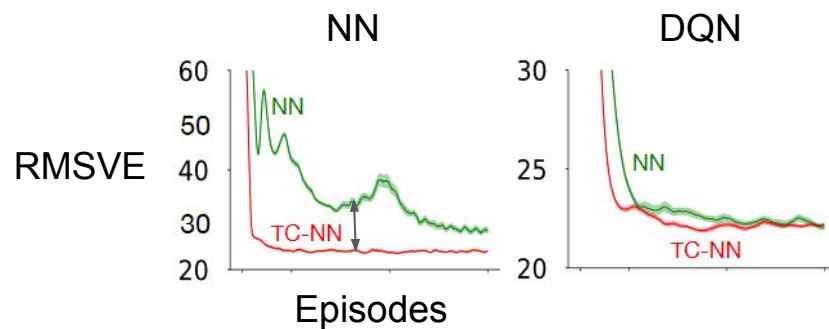
1. What's the problem?
2. Review some previous work
3. What we're doing about it

# What's the problem?

Why doesn't using NN function approximation with Q-learning “just work”?

- Experience replay 🤔
- Target networks 🤔🤔
- Feature engineering 🤔🤔🤔

# What's the problem?



(Ghiassian et. al 2020)

1. What's the problem?
- 2. Review some previous work**
3. What we're doing about it

# Previous work

## **The Utility of Sparse Representations for Control in Reinforcement Learning**

**Vincent Liu<sup>1</sup>, Raksha Kumaraswamy<sup>1</sup>, Lei Le<sup>2</sup>, Martha White<sup>1</sup>**

<sup>1</sup>Department of Computing Science, University of Alberta, Edmonton, Canada  
{vliu1, kumarasw, whitem}@ualberta.ca

<sup>2</sup>Department of Computer Science, Indiana University Bloomington, Indiana, USA

## **Improving Performance in Reinforcement Learning by Breaking Generalization in Neural Networks**

Sina Ghiassian, Banafsheh Rafiee, Yat Long Lo, Adam White  
Reinforcement Learning and Artificial Intelligence Laboratory, University of Alberta and  
Alberta Machine Intelligence Institute (AMII)

# The Utility of Sparse Representations for Control in Reinforcement Learning

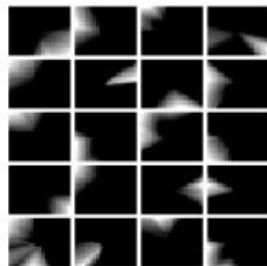
Vincent Liu<sup>1</sup>, Raksha Kumaraswamy<sup>1</sup>, Lei Le<sup>2</sup>, Martha White<sup>1</sup>

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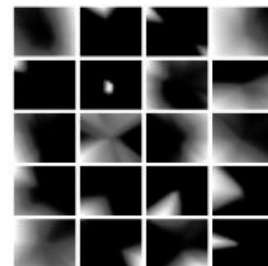
<sup>2</sup>Department of Computer Science, Indiana University Bloomington, Indiana, USA

Activations for  
some units

0.0 0.32

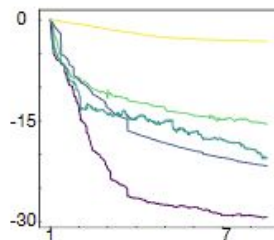


0.0 1.6



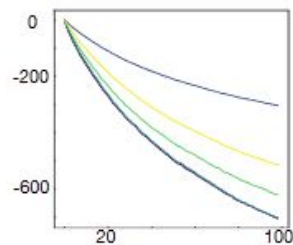
SR-NN

Value for  
five states



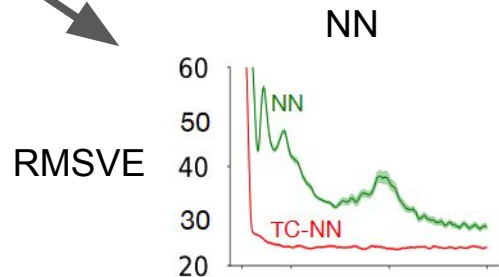
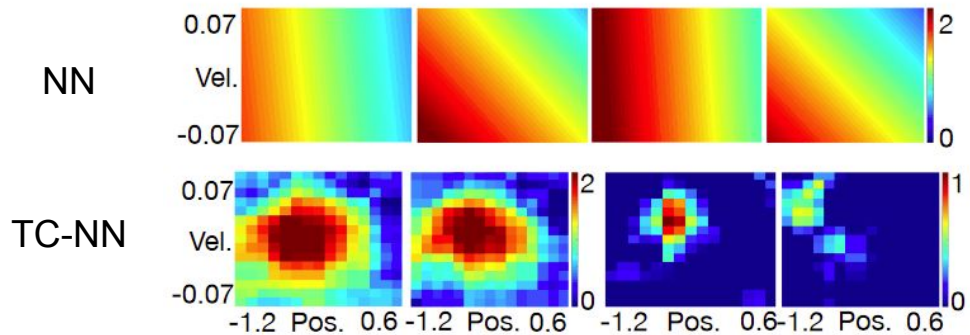
kSteps

NN



# Improving Performance in Reinforcement Learning by Breaking Generalization in Neural Networks

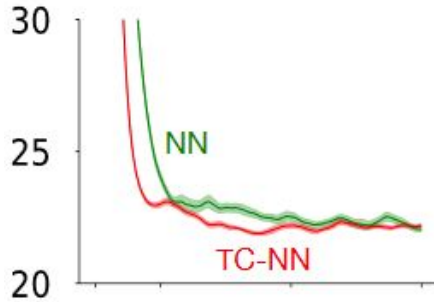
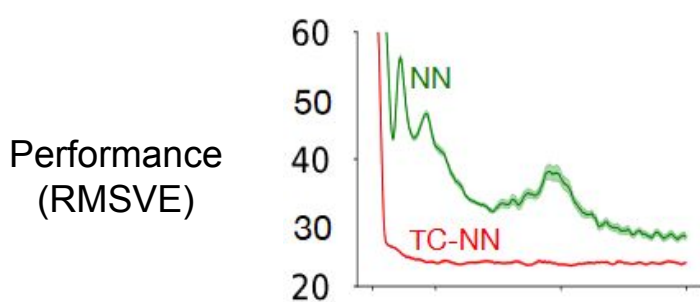
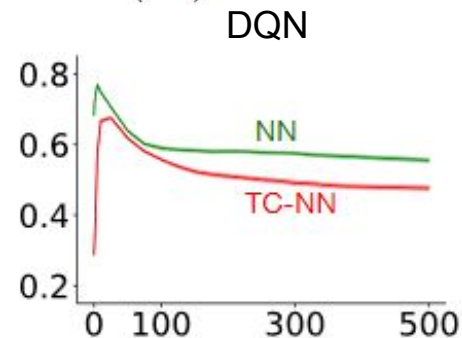
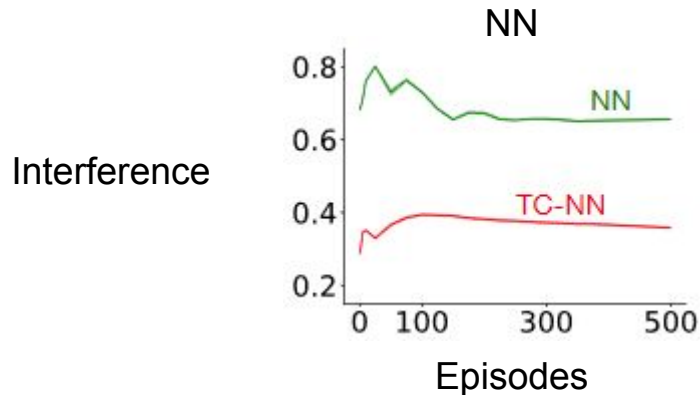
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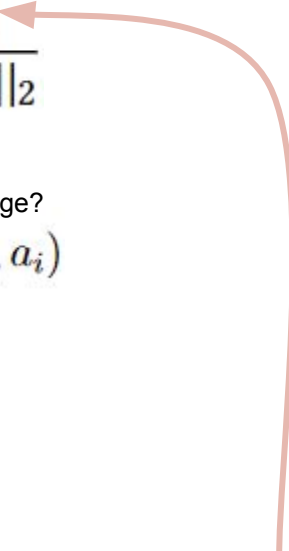


# Improving Performance in Reinforcement Learning by Breaking Generalization in Neural Networks

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# What's “interference”?

$$PI(S_i, S_j) = \frac{\nabla_{\mathbf{w}} [\hat{v}(\mathbf{w}, S_i)]^\top \nabla_{\mathbf{w}} [\hat{v}(\mathbf{w}, S_j)]}{\|\nabla_{\mathbf{w}} \hat{v}(\mathbf{w}, S_i)\|_2 \times \|\nabla_{\mathbf{w}} \hat{v}(\mathbf{w}, S_j)\|_2}$$


After learning  $(s_t, a_t)$ ...

...how does the error at  $(s_i, a_i)$  change?

$$PI(\boldsymbol{\theta}_t; (s_t, a_t), (s_i, a_i)) := J(\boldsymbol{\theta}_{t+1}; s_i, a_i) - J(\boldsymbol{\theta}_t; s_i, a_i)$$

$$PI(\boldsymbol{\theta}_t; (s_t, a_t), (s_i, a_i))$$

$$\approx (\boldsymbol{\theta}_{t+1} - \boldsymbol{\theta}_t) \frac{\partial J(\boldsymbol{\theta}_t; s_i, a_i)}{\partial \boldsymbol{\theta}_t}$$

$$= 2\alpha [U_t - Q_{\boldsymbol{\theta}_t}(s_t, a_t)] [Q^\pi(s_i, a_i) - Q_{\boldsymbol{\theta}_t}(s_i, a_i)] \boxed{\nabla_{\boldsymbol{\theta}_t} Q_{\boldsymbol{\theta}_t}(s_t, a_t)^\top \nabla_{\boldsymbol{\theta}_t} Q_{\boldsymbol{\theta}_t}(s_i, a_i)}$$

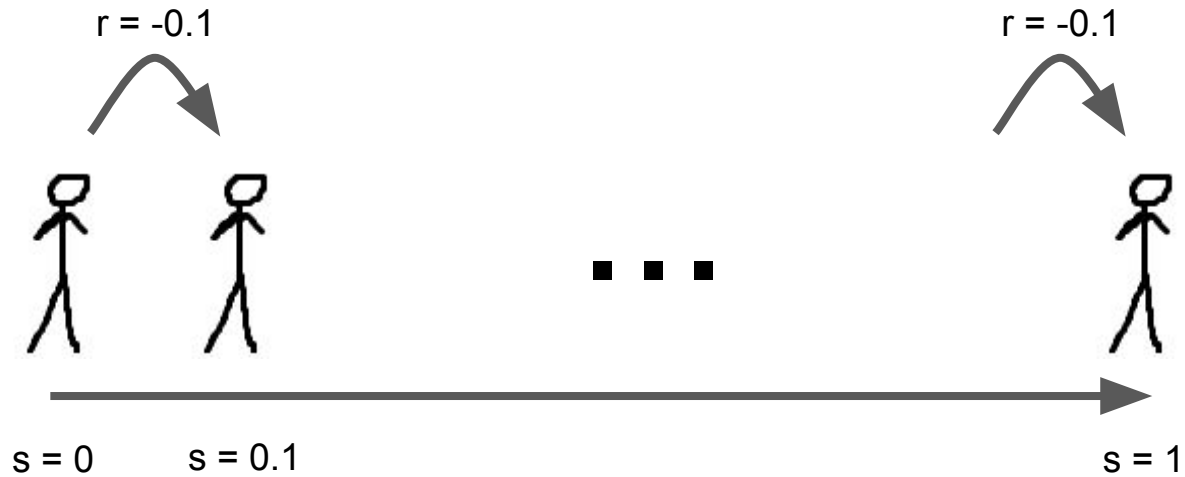
## So far we know...

- There's a problem with NNs as FAs in RL
  - But workarounds exist (ER, preprocessing, sparsity)
- Some potential causes have been studied
  - But we want to dig deeper

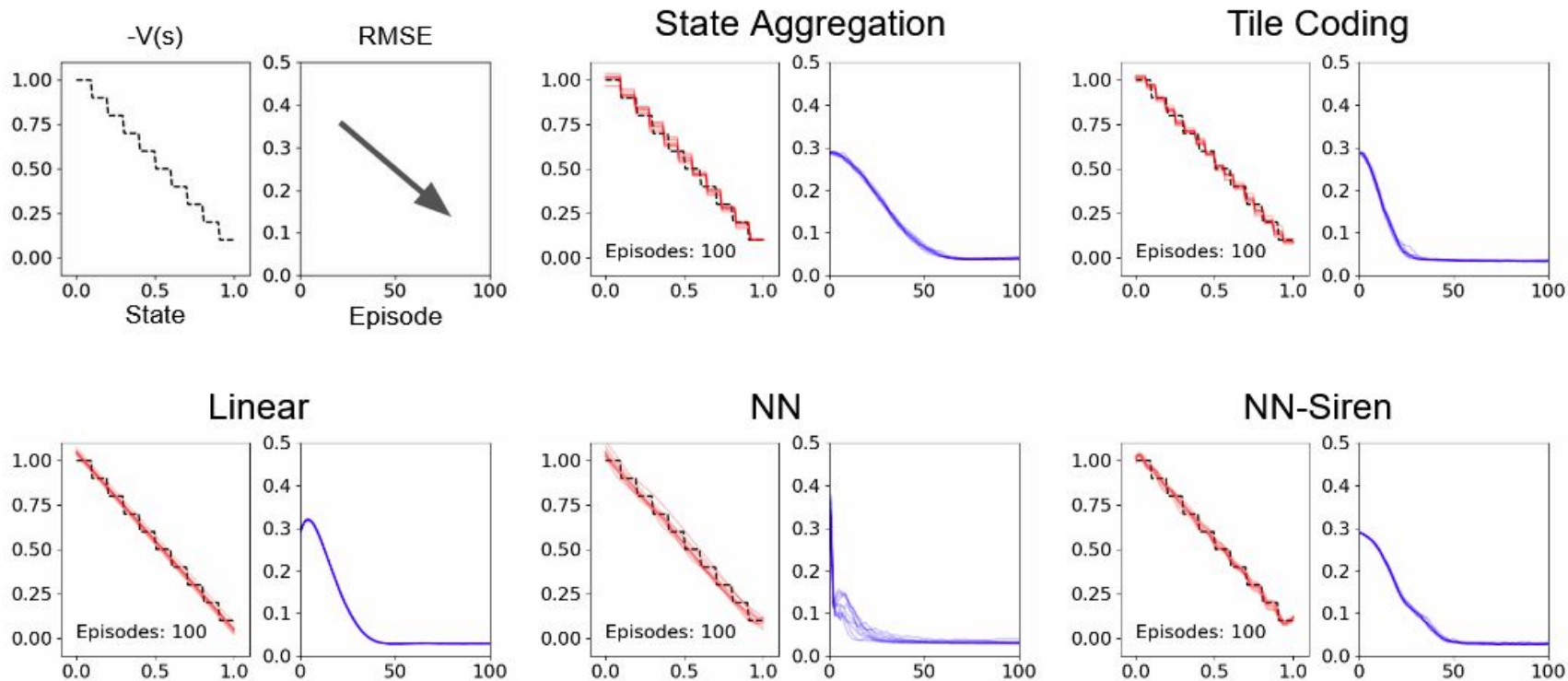
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Some results on a tiny environment

# “1D” Environment



# “1D” Environment Learned Value Functions



# Next Steps

- Deeper look into interference
- Check TC-Linear vs TC-NN
- Comparison of capacity and non-IID training for TC-Linear vs. TC-NN vs. NN
- Check if other NN architectures can break incorrect generalization directly
- Setting: prediction problem + MountainCar



# Wrap Up

- Figure out why NNs don't work with Q-learning
- Previous research looked at some possible causes
- We're looking deeper into it

A simple line drawing of a roller coaster track. The track is a single black line that starts on the left, dips into a valley, rises to a peak, and then dips again. A small black car with two grey wheels is positioned at the bottom of the first valley. At the top of the second peak, a yellow flag is attached to a thin vertical pole.

Thank you.

Questions?

Vincent Liu, Master's Thesis (2019)

[https://era.library.ualberta.ca/items/b4cd1257-69ae-4349-9de6-3feed2648eb1/view/d301ebee-7c64-4027-9411-ed0ef19d6e8f/Liu\\_Vincent\\_201909\\_MSc.pdf](https://era.library.ualberta.ca/items/b4cd1257-69ae-4349-9de6-3feed2648eb1/view/d301ebee-7c64-4027-9411-ed0ef19d6e8f/Liu_Vincent_201909_MSc.pdf)

The Utility of Sparse Representations for Control in Reinforcement Learning

Vincent Liu, Raksha Kumaraswamy, Lei Le, Martha White (2019)

<https://arxiv.org/abs/1811.06626>

Improving Performance in Reinforcement Learning by Breaking Generalization in Neural Networks

Sina Ghiassian, Banafsheh Rafiee, Yat Long Lo, Adam White (2020)

<https://arxiv.org/abs/2003.07417>